200100204

# THE UNITED STATES OF AVIERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME;

Syngenta Seeds, Inc.

There has been presented to the

### Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSE, OR USING IT IN CING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY WITON ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'S16-Y6'

In Testimon Thereof, I have hereunto set my hand and caused the seal of the Hant Buriety Frotection Office to be affixed at the City of Washington, D.C. this third day of December, in

ge year two thougand one.

Au

Palm Jukoml.

Commissioner Plant Variety Protection Office Agricultural Marketing Service Secretary of

REPRODUCE LOCKLLY. Include form number and date on all reproductions Form Approved - OMB No. 0581-005 U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE The following statements are made in accordance with the Privacy Act of 1974 (S.U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995, Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426). APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE (Instructions and information collection burden statement on reverse) 1 NAME OF OWNER 2. TEMPORARY DESIGNATION OR EXPERIMENTAL NAME 3. VARIETY NAME S16-Y6 M001219, X016 Syngenta Seeds, Inc. 4 ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country) 5. TELEPHONE (include area code) FOR OFFICIAL USE ONLY 763-593-7333 P.O. Box 959 РУРО КОМВЕК Minneapolis MN, 55440 5 FAX (include area code) 763-593-7801 FILING DATE 5/3/2001 IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF 8. IF INCORPORATED, GIVE STATE OF INCORPORATION 9. DATE OF INCORPORATION ORGANIZATION (corporation, partnership, association, etc.) Corporation 1976 Delaware 10. NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO SERVE IN THIS APPLICATION. (First person Ested will receive all papers) FILING AND EXAMINATION FEES: : 2705,00 John C. Thorne Syngenta Seeds, Inc. P.O Box 949 Washington, IA 52353 11 TELEPHONE (Include area code) 12. FAX (Include area code) 13. E\_MAIL 14. CROP KIND (Common Na 319 - 65319-653-4609 Johnc. Thorne@syngenta.dom Soybeans 664/13/01) 15 GENUS AND SPECIES NAME OF CROP 17. IS THE VARIETY A FIRST GENERATION HYBRID? 16. FAMILY NAME (Botanical) **₩** ☐ YES Glycine max Leguminosae DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE SOLD AS A CLASS OF CERTIFIED SEED? See Section 82(a) of the Plant Variety Protection Act) id. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on Exhibit A. Origin and Breeding History of the Variety 10 (11 "no," go to icom 22) a. 🛣 Exhibit B. Statement of Distinctness b. 🖈 20, DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY 85 LIMITED AS TO NUMBER OF GENERATIONS? Exhibit C. Objective Description of Variety Exhibit D. Additional Description of the Variety (Optional) Exhibit E. Statement of the Basis of the Owner's Ownership \*. <u>\*</u> Voucher Sample (2,500 viable untreated seeds or, for tuber propagated varieties, verification that tissue culture will be depositied and maintained in an approved public 21, IF "YES" TO ITEM 20, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? reconsidery) FOUNDATION ☐ REGISTERED Figng and Examination Fee (\$2,450), made payable to "Treasurer of the United States" (Mail to the Plant Variety Protection Office) 23. IS THE VARIETY OR MY COMPONENT OF THE VARIETY PROTECTED BY INTELLECTION." PROPERTY RIGHT (PLANT BREEDER'S RIGHT OR PATENT)? ZZ, HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) OR A HYBRID PRODUCED FROM THIS VARIETY BEEN SOLD, DISPOSED OF, TRANSFERRED, OR USED IN THE U. S. OR OTHER COUNTRIES? N HO BT: Wialor per M NO BT: HI3/01 perapplicants F YES, PLEASE GIVE COUNTRY, DATE OF FILING OR ISSUANCE AND ASSISTED PETMISSION REFERENCE NUMBER. (Please use space indicated on reverse) IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSITION, TRANSFER, OR USE FOR EACH COUNTRY AND THE CIRCUMSTANCES, (Please use space indicated on reverse.) The owners declare that a viable sample of basic seed of the variety will be furnished with application and will be replenished upon require a tuber propagated variety a tissue culture will be deposited in a public repository and meintained for the duration of the cartificate. est in accordance with such regulations as may be applicable, or ndersigned owner(s) is(are) the owner of this sexually reproduced or tuber propegated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required in Section 42, entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act. Owner(s) is(zee) informed that false representation herein can jeoperdize protection and result in penalties. OF OWNER SIGNATURE OF OWNER SICHATICE NAME (Please print or type) NAME (Pifese print or type) John C. Thorne

CAPACITY OR TITLE

DATE

(See reverse for instructions and information collection business

Director of Soybean Breeding

4-16-01

CAPACITY OR TITLE

200100304

#### EXHIBIT A

### Origin and Breeding History of S16-Y6

In the summer of 1993 the Syngenta Seeds, Inc. (formerly Novartis Seeds, Inc. or Northrup King Co.) breeding group at Washington, IA, made the cross, 'A2242' x Experimental 02052-11, from which the variety S16-Y6 is derived. A2242 a commercial cultivar marketed by Asgrow Seed Co. Experimental 02052-11 is a genotype developed by Midwest Oilseeds.

The F1 and F2 generations were grown at the Syngenta Seeds, Inc., Research Center near Kekaha, Kauai, HI, in the winter of 1993-94. The F3 generation was grown at Washington in the summer of 1994; the F4 and F5 in Kekaha in the winter of 1994-95, and the F6 at Washington in the summer of 1995. The F2 through F5 generations were advanced using a modified system of single seed descent. Single F6 plants were harvested in the fall of 1995 and threshed individually. The progeny from these plants were yield tested in a preliminary yield trial in the summer of 1996. One of these, designated M001219, was chosen for advancement. M001219 was tested in extensive replicated trials in the northern United States and southern Ontario from 1997 through 2000 and found to perform well compared to other mid Maturity Group 1 varieties. It was tested in the greenhouse at the Syngenta Seeds, Inc. Research Center at Bay, AR, for resistance to *Phytophthora sojae* and found to have no major genes for resistance. It was also found to have purple flowers, light tawny pubescence, tan pod walls, and seed with yellow seed coats and brown hila (may contain up to 2% other hilum color). In 2000 it was tested under the experimental designation X016, and based on its yield superiority, it was released as S16-Y6.

During the winter of 1997-98, approximately 500 seeds of S16-Y6 were rogued for hilum color and planted in Kekaha. This increase was rogued for flower, pubescence, and pod color, and 150 plants were harvested individually. The seed from these plants were planted as progeny rows at the Syngenta Seeds, Inc. Research Center at Owatonna, MN in the summer of 1998. The increase was rogued carefully at flowering and maturity, and any rows containing off-type plants were removed. The remaining rows were then harvested and bulked to produce Pre-breeder seed. This seed was planted at Washington in the summer of 1999, rogued carefully throughout the growing season, and harvested to produce Breeder Seed.

Foundation seed of S16-Y6 was produced by Syngenta Seeds, Inc. in the summer of 2000 and found to meet Syngenta standards for Foundation Seed.

S16-Y6 is uniform and stable within a purity level of 99% (98% for hilum color). During the four years of testing and three years of seed increase, we have observed no variants. Any off-type plants removed from increase fields were assumed to have arisen from admixture or out-crossing. Varietal purity will be maintained using progeny rows as needed for the life of the variety.

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#### Exhibit B

## Statement of Distinctness for the Variety S16-Y6

Soybean variety S16-Y6 is most like the varieties S13-J6 and CX145. It can be differentiated from S13-J6 on the basis of pubescence and pod wall color. S16-Y6 has light tawny pubescence and tan pod walls; S13-J6 has tawny pubescence and brown pod walls. It can be differentiated from CX145 on the basis of pod color and hilum color. S16-Y6 has tan pod walls and seeds with brown hila; CX145 has brown pod walls and seeds with black hila.

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE LIVESTOCK, MEAT, GRAIN & SEED DIVISION PLANT VARIETY PROTECTION OFFICE BELTSVILLE, MARYLAND 20705 EXHIBIT C (Soybean)

## **OBJECTIVE DESCRIPTION OF VARIETY**

SOYBEAN (Glycine max L.)

NAME OF APPLICANT(S)	TEMPORARY DESIGNATION	VARIETY NAME
Syngenta Seeds, Inc.	M001219 (X016)	\$16\(\frac{1}{2}\)Y6
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Cod	le)	FOR OFFICIAL USE ONLY
	•	PYRO NUMBER
P.O Box 959		[ <b>2</b> 00] 000 204 4
Minneapolis, MN 55440		•
		1 1 1 1 1 1 1 1
Choose the appropriate response which characterizes the var		
in your answer is fewer than the number of boxes provided,	place a zero in the first box w	when number is 9 or less (e.g., $[0]9$ ).
1. SEED SHAPE:	) ()	
$\overline{2}$	· []	•
L W	T	
1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)	2 = Spherical Flattened	(L/W ratio > 1.2; L/T ratio = < 1.2)
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)		(L/T ratio > 1.2; T/W > 1.2)
2. SEED COAT COLOR: (Mature Seed)		
1 1 = Yellow 2 = Green 3 = Brown	4 = Black 5 = Other	(Specify)
3. SEED COAT LUSTER: (Mature Hand Shelled Seed)		
1   1 = Dull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Nebso	oy'; 'Gasoy 17')	•
•		
4. SEED SIZE: (Mature Seed)		
1 9 Grams per 100 seeds		
<u></u>		
5. HILUM COLOR: (Mature Seed)		
J. William Go Lovi (Mariano Good)		
3 1 = Buff 2 = Yellow 3 = Brown	4 = Gray 5 = Imperfect Bla	ick 6 = Black 7 = Other (Specify)
May contain up to 2% other hill		
	an color	
6. COTYLEDON COLOR: (Mature Seed)		
1 = Yellow 2 = Green		
1 Tenow 2- Green		
7. SEED PROTEIN PEROXIDASE ACTIVITY:		
2 1 = Low 2 = High		
Z 12 Low 22 High		
8. SEED PROTEIN ELECTROPHORETIC BAND:		
1 = Type A (SP1 <sup>a</sup> ) 2 = Type B (SP1 <sup>b</sup> )		
		<u> </u>
9. HYPOCOTYL COLOR:		
1 3 1	bronze band below cotyledons (	Woodworth'; 'Tracy')
3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')		•
4 = Dark Purple extending to unifoliate leaves ('Hodgson';'	'Coker Hampton 266A')	
10. LEAFLET SHAPE:		
IU, LEAFLEI SHAFE.		
3 1 = Lanceolate 2 = Oval 3 = Ovate	4 = Other (Specify)	

FORM LMGS-470-57 (2-82)

11.	LEAF	LET SIZE:		<b>4</b> 9 9 1 0 0 2 0 4
	2	1 = Small ('Amsoy 71'; 'A5312') 3 = Large ('Crawford'; 'Tracy')	2 = Medium ('Corsoy 79'; 'Gasoy 17')	
12.	LEAF	COLOR:		
	2	1 = Light Green ('Weber'; 'York') 3 = Dark Green ('Gnome'; 'Tracy')	2 = Medium Green ('Corsoy 79'; 'Braxto	n')
13.	FLOW	ER COLOR:		
÷	2	1 = White 2 = Purple	3 = White with purple throat	·
14.	POD C	OLOR:		
		1 = Tan 2 = Brown	3 = Black	
15.	PLANT	PUBESCENCE COLOR:		
		1 = Gray 2 = Brown (Tawny) Light Tawny		
16.	PLANT	TYPES:		
	2	1 = Slender ('Essex'; 'Amsoy 71') 3 = Bushy ('Gnome'; 'Govan')	2 = Intermediate ('Amcor'; 'Braxton')	
17.	PLANT	HABIT:		
	3	1 = Determinate ('Gnome'; 'Braxton') 3 = Indeterminate ('Nebsoy'; 'Improved Pe		
18.	MATUR	RITY GROUP:		
	44	1 = 000	4 = I 5 = II 6 = III II 12 = IX 13 = X	7 = IV 8 = V
19.	DISEAS	E REACTION: (Enter 0 = Not Tested; 1 =	Susceptible; 2 = Resistant)	
	BACT	ERIAL DISEASES:		
	0	Bacterial Pustule (Xanthomonas phaseoli v	ar, sojensis)	
		Bacterial Blight (Pseudomonas glycinea)		
		Wildfire (Pseudomonas tabaci)		
	[U]			
	O	L DISEASES:	•	
	٢٠١	Brown Spot (Septoria glycines)		
		Frogeye Leaf Spot (Cercospora sojina)		
			ace 3 O Race 4 O Race 5	Other (Specify)
		Target Spot (Corynespora cassiicola)		
	0	Downy Mildew (Peronospora trifoliorum va	ar. manshurica)	•
		Powdery Mildew (Microsphaera diffusa)		
		Brown Stem Rot (Cephalosporium gregatur	m) ·	
	0	Stem Canker (Diaporthe phaseolorum var.	cautivora)	4

FORM LMGS-470-57 (2-82)

19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)									
FUNGAL DISEASES: (Continued)									
1	Pod and Ste	m Blight (Diaporthe phaseolorum var; sojae)		200100204					
1	Purple Seed	Stain (Carcospora kikuchii)							
	Rhizoctonia	Root Rot (Rhizactania salani)							
ــــــ	Phytophthora Rot (Phytophthora megasperma var. sojae)								
1	Race 1	Race 2 1 Race 3 1	Race 4 Race 5	6 Race 6 1 Race 7					
	Race 8	Race 9 Other (Specify)							
VIRA	VIRAL DISEASES:								
0	0 Bud Blight (Tobacco Ringspot Virus)								
		-							
	Yellow Mosaic (Bean Yellow Mosaic Virus)								
	O Pod Mottle (Bean Pod Mottle Virus)								
لــت	O Seed Mottle (Soybean Mosaic Virus)								
NEM.	NEMATODE DISEASES:								
	Soybean Cyst Nematode (Heterodera glycines)								
	Race 1								
	Lance Nematode (Hopiolaimus Colombus)								
O Southern Root Knot Nematode (Meloidogyne incognita)									
Northern Root Knot Nematode (Meloidogyne Hapla)									
0	Peanut Root Knot Nematode (Meloidogyne arenaria)								
0	Reniform Ne	matode (Rotylenchulus reniformis)							
	OTHER DISEASE NOT ON FORM (Specify):								
20. PHYSIO		SPONSES: (Enter 0 = Not Tested; 1 = Suscep	tible; 2 = Resistant)						
<u> </u>		on Calcareous Soil		•					
	Other (Specify)								
21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)									
	Mexican Bean Beetle (Epilachna varivestis)								
O Potato Leaf Hopper (Empoasca fabae)									
Other (Specify)									
22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.									
CHARA	ACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY					
Plant Shape S14-G3			Seed Coat Luster						
Leaf Shap	e	S14-U4	Seed Size	S14-U4					
Leaf Colo	r		Seed Shape						
Leaf Size		S14-U4	Seedling Pigmentation	S14-U4					
			1						

## 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS	PLANT LODGING	CM PLANT	LEAFL	ET SIZE	SEED CONTE	TENT	SEED SIZE	NO.
	MATURITY	SCORE	HEIGHT	CM Width	CM Length	% Protein	% Oil	G/100 SEEDS	SEEDS/ POD -
Submitted	125	2.3	61	6	10	39.6	21.5	19	
14-U4 Name of Similar Variety	123	2.5	71	6	10	38.1	21.6	19	

## PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

- 1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
- 2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
- 3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A<sub>2</sub> in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
- 4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

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EXHIBIT E STATEMENT OF THE BASIS OF OWNERSHIP	Application is required in order to determine if a plant varies certificate is to be issued (7 U.S.C. 2421). Information is he until certificate is issued (7 U.S.C. 2426).			
1. NAME OF APPLICANT(S)		2+20).		
	2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	3. VARIETY NAME		
Syngenta Seeds, Inc.	M001219, X016	S16-Y6		
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country)	5. TELEPHONE (include area code)	6. FAX (include area code)		
P.O Box 959	763-593-7333	763-593-7801		
Minneapolis, MN 55440	7. PVPO NUMBER	703 333 701		
	7. TV. O NO MODEL			
8. Does the applicant own all rights to the variety? Mark an "X" in appropriate				
an X in appropriate	block. If no, please explain.	X YES NO		
		<u>·</u>		
	*			
\				
9. Is the applicant (individual or company) a U.S. national or U.S. based/compar				
If no, give name of country	iy?	X YES NO		
10 la the and in a the state of				
10. Is the applicant the original owner? X YES NO If no, please as	nswer the fallowing:			
a. If original rights to variety were owned by individual(s), is (are)	the original owner(s) a U.S. national	1(5)?		
YES NO If no, give name of country	and the state of t	M(0):		
<ul> <li>b. If original rights to variety were owned by a company, is the original</li> </ul>	inimal accompands to U.O. I	_		
· ["""]		ny?		
YES NO If no, give name of country				
<ol> <li>Additional explanation on ownership (If needed, use reverse for extra space):</li> </ol>				
		-		
		•		
	•			
EASE NOTE:				
int variety protection can be afforded only to owners (see l'annual				
ant variety protection can be afforded only to owners (not licensees) who meet o	the state of the s			
If the rights to the variety are owned by the original breeder, that person must of a country which affords similar protection to nationals of the U.S. for the sar	be a U.S. national, national of a UF	POV member country, or nationa		
If the rights to the variety are owned by the company which employed the originationals of a UPOV member country, or owned by nationals of a country which genus and species.	nal breeder(s), the company must the high similar protection to nation	pe U.S. based, owned by onals of the U.S. for the same		
If the applicant is an owner who is not the original owner, both the original own	er and the applicant must meet one	e of the above criteria.		
e original breeder/owner may be the individual or company who directed final bre definition.				
cording to the Paperwork Reduction Act of 1995, no persons are required to re- ntrol number. The valid OMB control number for this information collection of lection is estimated to average 10 minutes per response, including the time for d maintaining the data needed, and completing and reviewing the collection of inf	is 0361-0055. The time require	n unless it displays a valid OME ed to complete this information existing data sources, gathering		
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2) 720-1127 (TDD). USDA is an equal employment opportunity employer.	,	Call 1-000-245-0340 (VOICE) OF		